



FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)		SERIAL NO. 10/625,380	ATTORNEY DOCKET NO. 1053.2.2
		FILING DATE July 23, 2003	GROUP ART UNIT
		APPLICANT(S): Mark J. Hagmann	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
as	A1	3,958,189	05/18/1976	Sprangle et al.	331/94.5 PE	06/04/1975
↑	A2	4,888,776	12/19/1989	Dolezal et al.	372/2	12/13/1988
↓	A3	4,912,367	03/27/1990	Schumacher et al.	315/3.5	04/14/1988
↓	A4	6,100,640	08/08/2000	Cathey et al.	315/169.3	05/20/1998
D	A5	6,204,606	03/20/2001	Spence et al.	315/111.21	09/28/1999

## FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

## NON-PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)

EXAMINER	David VN	DATE CONSIDERED	8/31/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



10/62538D

FORM PTO-1449		SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. 1053.2.2
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EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
Q	A1	Peter H. Siegel, Fellow, IEEE "Terahertz Technology", IEEE Transactions on Microwave Theory and Techniques, Vol. 50, NO. 3 March 2002; pg 910-928
↑	A2	E.R. Brown, F. W. Smith and K.A. McIntosh "Coherent Millimeter-wave Generation by Heterodyne Conversion in Low-temperature-grown GaAs Photoconductors", J. Appl. Phys. 73 (3), 1 February 1993; pg 1480-1463
↓	A3	Mark J. Hagmann "Stable and Efficient Numerical Method for Solving the Schrodinger Equation to Determine the Response of Tunneling Electrons to a Laser Pulse", International Journal of Quantum Chemistry, Vol. 70, pg. 703-710 (1998) no. 4/5
Q	A4	L. Arnold and W. Krieger, H. Walter "Laser-frequency mixing using the scanning tunneling microscope", J. Vac Sci. Technol. A 6 (2), Mar/Apr 1988; pg 466-469

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R	A5	Mark J. Hagmann "Simulations of photon-assisted field emission: their significance in basic science and device applications", Ultramicroscopy 79 (1999); pg. 115-124
↑	A6	Mark J. Hagmann "Simulations of the generation of broadband signals from DC to 100 THz by photomizing in laser-assisted field emission", Ultramicroscopy 73 (1998); pg. 89-97
	A7	S.K. Masalmeh, H.K.E. Stadermann, J. Korving "Mixing and rectification properties of MIM diodes", Physica B 218 (1996); pg. 56-59
	A8	Mark J. Hagmann "Stimulations of Laser-Assisted field Emission Within the Local Density Approximation of Kohn-Sham Density-Functional Theory", International Journal of Quantum Chemistry, Vol. 65, No. 5, pg. 857-865 (1997)
	A9	Mark J. Hagmann "Single-Photon and Multiphoton Processes Causing Resonance in the Transmission of Electrons by a Single Potential Barrier in a Radiation Field", International Journal of Quantum Chemistry, Vol. 75 No. 4/5, pg 417-427 (1999)
	A10	Mark J. Hagmann "Mechanism for Resonance in the Interaction of Tunneling Particles with Modulation Quanta", J. Appl. Phys. 78 (1), 1 July 1995; pg. 25-29
	A11	Alexandre Mayer and Jean-Pol Vigneron "Quantum-Mechanical Simulations of Photon-stimulated field emission by Transfer Matrices and Green's functions", Physical Review B, Vol. 62, No. 15 Dec. 2000-1; pg. 16 138- 16 145
	A12	Mayer, N. M. Miskovsky, and P.H. Cutler "Photon-stimulated field Emission from Semiconducting (10, 0) and Metallic (5, 5) carbon Nanotubes", Physical Review B, Vol. 65, 195416; pg. 195416-1 - 195416-6
	A13	A. Mayer, N. M. Miskovsky and P.H. Cutler "Three-dimensional Simulations of Field Emission through an Oscillating Barrier from a (10,0) Carbon Nanotube", J. Vac. Sci. Technol. B 21(1), Jan/Feb 2003; pg. 395-399
	A14	Georg Goubau "Surface Waves and Their Application to Transmission Lines", Journal of Applied Physics, Vol. 21 Nov. 1950; pg 1119-1128
	A15	Karen N. Kocharyan, Mohammed Nurul Afsar, and Igor I. Tkachov "Millimeter-Wave Magneto optics: New Method for characterization of Ferrites in the Millimeter-Wave Range", IEEE Transcations on Microwave theory and tech., Vol. 47, No. 12 Dec. 1999; pg. 2636-2643
↓	A16	W. Zhu, C. Bower and O. Zhou, and G. Kochanski and S Jin "Large Current Density from Carbon Nanotue Field Emitters", Applied Physics Letters, Vol. 75, No. 6, 9 Aug. 1999; pg. 873-875
R	A17	R. Tarkiainen, M. Ahlskog, J. Penttila, L. Roschier, P. Hakonen, M. Paalanen, and E. Sonin "Multiwalled Carbon Nanotube: Luttinger Versus Fermi Liquid", Physical Review B, Vol. 64, 195412, pg. 195412-1 - 195412-4

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2	A18	Markus Ahlskog, Pertti Hakonen, Mikko Paalanen, Leif Roschier, and Reeta Tarkainen "Multiwalled Carbon Nanotubes as Building Blocks in Nanoelectronics", Journal of Low Temperature Physics, Vol. 124, Nos. 1 /2, 2001; pg. 335-352
↑	A19	A. Bachtold, M. de Jonge, K. Grove-Rasmussen, and P.L. McEuen "Suppression of Tunneling into Multiwall Carbon Nanotubes", Physical Review Letters, Vol. 87, No. 16 15 Oct. 2001; pg. 166801-1 - 166801-4
↓	A20	P.J. Burke "An RF Circuit Model for Carbon Nanotubes", IEEE Transactions on Nanotechnology, Vol. 2, No. 1 March 2003; pg. 55-58
2	A21	D. B. Rutledge, S. E. Schwarz and A. T. Adams "Infrared and Submillimetre Antennas", Infrared Physics 18 Dec. 1978; pg. 713-729

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